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# CAE

SUMMIT 2021

24th August 2021



# PREPARE, PITCH AND PERFORM

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Being a Chief Audit Executive (CAE), you could be in the limelight right now or in the near future. Increasing number of stakeholders in many businesses are demanding more from internal audit and the CAE. They want you to connect internal audit with corporate goals, plot a route forward, and implement initiatives to meet current and future demands. Internal audit is expected to ensure, advise, and anticipate the needs of stakeholders. They want you to ensure that controls are operating effectively, advise them on improvements and operational difficulties, and anticipate and give insights into organisational risks.

Stakeholders, on the other hand, will not tell you how to accomplish these things. Which is fantastic because it allows you to write your own story, develop your skills, form new partnerships, and advance your professional and staff goals while also driving the company ahead. Given the present risk climate, industry change, and rising demands on companies, one thing is certain: internal audit will play a greater role on a wider stage, under a brighter spotlight than ever before. It's now up to you, the CAE, to prepare, pitch, and perform.

# PROGRAMME

08.00am	Registration & Welcoming participants
08.50am	Opening Remarks by President of IIA Malaysia
09.00am	<p><b>Session 1 (Individual Session)</b> <b>Step Up or Step Out!</b></p> <p>CAEs must take the lead or lend a strong hand in addressing increased demands on internal audit stemming from new strategies, regulations, practices, technologies, and risks in most organizations. Internal audit is expected to:</p> <ul style="list-style-type: none"><li>● provide advice on risks and risk management, and insights into business operations and processes.</li><li>● anticipate risks and issues—not just to help everyone stay out of trouble but also to point out opportunities and emerging trends.</li><li>● to ratchet up its analytical game, communicate with stakeholders more clearly, and proactively manage change.</li><li>● to partner with leaders in the business and with compliance, enterprise risk management, and other functions.</li></ul> <p>Speaker <b>LAWRENCE J. HARRINGTON</b> Former CAE &amp; Global Chair IIA</p>
10.00am	Break
10.15am	<p><b>Session 2 (Panel Session)</b> <b>“Endemic”: The Way Forward for Internal Audit</b></p> <p>Because of the transformed corporate setting – both internally and externally – the Internal Audit (IA) function is facing key strategic questions regarding its positioning and what sort of operating model it should run in the near future. The key questions are:</p> <ul style="list-style-type: none"><li>● how is the strategic placement of an IA function (i.e. purpose, authority, and responsibility),</li><li>● how it should fulfill its independence and objectivity requirements (i.e. impartial and unbiased execution and reporting) and;</li><li>● how it can contribute to the company’s success (i.e. proficiency).</li></ul> <p>Prior to the COVID-19 scenario, companies who followed good practise guidelines would frequently employ the three-line-of-defense idea as a corporate governance model. The status of corporations has drastically changed as a result of the new reality imposed by the corona virus agenda.</p> <p>Due to cost-cutting measures, companies are putting governance activities on hold as a result of the economic crisis. Strategic priorities are also in “survival mode” right now. Due to organisational efforts to keep operations running, assurance activities surrounding control testing and monitoring have been severely curtailed, and corporate governance processes or associated control frameworks have been changed; i.e. allowing the overriding of four-eyes principle or ignoring the segregation of duties because employees are on furlough, working from home or being laid off.</p> <p>Moderator <b>DEREK LEE SIEW WENG</b> Board of Governor, The Institute of Internal Auditors Malaysia</p> <p>Panellists <b>ANGELA SIMATUPANG</b> Senior Partner, Head of Consulting and International Contact Partner, RSM Indonesia <b>FARAH JAAFAR</b> Chief Executive Officer of Labuan IBFC Incorporated SdnBhd (LIBFC Inc.) <b>SHAGEN GANASON</b> Heads of Internal Audit, AIA Group, New Zealand</p>
11.45am	Break
12.00pm	<p><b>Session 3</b> <b>Critical ESG Audit: Reporting Accuracy &amp; Consistency</b></p> <p>Internal auditing’s function and goal require independent, objective assurance and guidance, which makes its participation in ESG reporting important. Internal auditing offers value by “... providing a systematic, disciplined methodology to analyse and enhance the effectiveness,” according to The IIA’s International Professional Practices Framework (IPPF). Directors must have solid assurance on the efficacy of ESG risk management, including ESG reporting, as ESG risks become more visible and prominent in decision-making by the governing body and senior management. Internal audit should provide that assurance. The session will demonstrate how assurance was successfully achieved in a specific sector.</p> <p>Speaker <b>THARA TK</b> Co-Founder &amp; CEO, ESG Minds   Qualified Independent Director</p>
01.00pm	End of the Conference

**FEE**

**Member’s Fee: RM500.00 | Non-Member’s Fee: RM600.00**

*Fee is subject to 6% SST.*

*Enjoy 10% discount off total course fee for 3 pax and above from the same organisation.*

# PANELLIST



## LAWRENCE J. HARRINGTON

Former CAE & Global Chair IIA

Larry Harrington is a CIA, CRMA, and CPA. He is the retired CAE at Raytheon Company where he served for over 13 years. He also served as CAE at Staples and Aetna and held VP positions in Finance, Human Resources and Healthcare Operations. Active within the IIA, Larry served as Global Chair, Chair of the North American Board, and is a member of the IIA's North America Hall of Distinguished Audit Practitioners. Larry has been a thought leader and frequent speaker on internal audit topics in over two dozen countries and many IIA US chapters.



## DEREK LEE SIEW WENG

Board of Governor, The Institute of Internal Auditors Malaysia

Derek Lee is a professional who has accumulated more than 28 years of experiences in the areas of audit (financial & internal), governance, risk, and financial management. Derek has worked in both commercial as the head of internal audit for PLC as well as leading corporate and financial functions for organisations in Malaysia and UK.

Derek is currently taking the professional advisory role, advising and working with many businesses on various GRC application engagements. Derek is passionate to assist organisations in building their risk management capability and also enhancing organisation's business continuity management ('BCM') implementation effectiveness.

Derek has been a speaker at various public conferences and seminars on Governance, Risk & Compliance ('GRC') topics. He is committed to engage the business community and stakeholders further to advocate the importance and relevance of GRC applications for business corporations.

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# PANELLIST



## **ANGELA SIMATUPANG**

Senior Partner, Head of Consulting and International Contact Partner, RSM Indonesia

Angela is a Director of the Global Board of RSM International Limited and a Senior Partner and Head of Consulting at RSM Indonesia.

She is the President of the IIA Indonesia and also sit as member of the International Internal Audit Standard Board (IIASB).

She was a part of the team that delivered the Indonesia Code of Corporate Governance, Indonesia Code for Good Public Governance and the Whistleblowing System Guidebook issued by the Indonesia National Committee on Governance.

Angela has lectured on internal audit & risk management for the Master of Accounting and Professional Accountant Program at the University of Indonesia. She has written articles to promote Indonesia's awareness of governance and was appointed by regulators in Indonesia as Corporate Governance Expert that represent Indonesia in the ASEAN ACGS Forum since 2016.

She was an independent member of Audit Committee, Risk Monitoring Committee, and Integrated Corporate Governance Committee for its conglomeration group, at one of the Top 10 Bank in Indonesia.

Earned Master of Commerce in International Business and Management of Technology from the University of Sydney and Bachelor of Economy in Accounting from Trisakti University.

Holds several professional certifications including CIA, CRMA, CFE, CRISC, GRCP, GRCA, and COSO Enterprise Risk Management Certificate.



## **FARAH JAAFAR**

Chief Executive Officer of Labuan IBFC Incorporated SdnBhd (LIBFC Inc.)

Farah Jaafar-Crossby is the Chief Executive Officer of Labuan IBFC Incorporated SdnBhd (LIBFC Inc.), the official promotion and marketing agency for Labuan International Business and Financial Centre (Labuan IBFC) since January 2018.

She assumed this role after more than three years as the Director of Market Intelligence and Strategic Communications, in LIBFC Inc. Farah, a seasoned communications professional, brings with her more than 20 years of experience in capital markets and the wholesale financial services sector, putting her in good stead to promote Labuan IBFC as the preferred midshore jurisdiction in Asia Pacific. Farah was formerly attached to the Asian Institute of Finance and Bursa Malaysia, where she was involved in senior market development and strategic communications roles. She also managed the Association of Stock Broking Companies Malaysia, the industry lobby group representing Malaysian stockbrokers, allowing her a unique insight and hands on experience with issues surrounding multiple stakeholder management. Farah has a LLB (Hons) from Sheffield University, United Kingdom.

# PANELLIST



## **SHAGEN GANASON**

**Heads of Internal Audit, AIA Group, New Zealand**

Shagen Ganason leads the internal audit function for the AIA Group in New Zealand. AIA is the largest listed company on the Hong Kong Stock Exchange and the largest life insurer in the world with a presence in 18 markets across the Asia-Pacific region. Shagen's point of difference is that he has been on both sides of an organization – as an auditor and as a line manager – so he understands what it takes to be effective as an internal auditor. His leadership roles have focused on building businesses, delivery of audit engagements, and people development responsibilities. He is a results driven specialist with demonstrated success in building strong relationships with “C Suite” executives globally.

He has over 30 years of experience in the field of operational management, continuous improvement, lean auditing, accounting, internal audit and risk management. His experience has been global, crossing a variety of industries including manufacturing, airline, agriculture, financial services and government across three continents. In addition to leading internal audit departments, Shagen has also held other positions such as Chief Information Officer, Chief Financial Officer, Chief Operating Officer and Lean Champion.

Shagen is a Certified Internal Auditor, a Certified Environment Auditor, holds an MBA with Merit from the University of Bath in the United Kingdom and is a lean practitioner trained by Toyota Master Trainers in Japan. Shagen is a regular presenter at regional and international IIA and ACFE events. He has also served on several ACIIA and IIA Global committees and is currently a mentor to the IIA Papua New Guinea Chapter.



## **THARA TK**

**Co-Founder & CEO, ESG Minds | Qualified Independent Director**

Thara is an ESG Thought Leader, Strategist and Solution Architect contributing in the ecosystem across Corporates, Government & Academia on ESG solutions in the areas of Strategy, Platform, Implementation & Reporting. Focus Concept Areas : ESG Charter, Board Engagements, Business & Supply Chain integration, Net Zero planning, Integrated Reporting, BRSR and other global reporting standards, CSR & SDG integration, CDP, SBTi, Rating, Ranking and Indices, Digital Platform and ESG enablement functions.

**ENQUIRY & REGISTRATION**

1-17-07, Menara Bangkok Bank, Berjaya Central Park, 105 Jalan Ampang, 50450, Kuala Lumpur, Malaysia  
Tel: +603 2181 8008 ext 210/213/212 Fax: +603 2181 1717 Email: training@iiam.com.my Website: www.iiam.com.my

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**COURSE DETAIL**

Course Title \_\_\_\_\_

Course Code \_\_\_\_\_

Course Date(s) \_\_\_\_\_

**DELEGATE 1**

\*Full Name (as per IC) \_\_\_\_\_

\*Designation \_\_\_\_\_

\*Mobile No. \_\_\_\_\_

\*Email Address \_\_\_\_\_

 Member  Non-Member "Membership No. (only applicable for members)" \_\_\_\_\_**DELEGATE 2**

\*Full Name (as per IC) \_\_\_\_\_

\*Designation \_\_\_\_\_

\*Mobile No. \_\_\_\_\_

\*Email Address \_\_\_\_\_

 Member  Non-Member "Membership No. (only applicable for members)" \_\_\_\_\_**DELEGATE 3**

\*Full Name (as per IC) \_\_\_\_\_

\*Designation \_\_\_\_\_

\*Mobile No. \_\_\_\_\_

\*Email Address \_\_\_\_\_

 Member  Non-Member "Membership No. (only applicable for members)" \_\_\_\_\_**CORPORATE DETAILS** (only applicable for corporations) Corporate Member Corporate Membership No: \_\_\_\_\_ Corporate Non-Member**CONTACT DETAILS**

Organisation Name \_\_\_\_\_

Mailing Address \_\_\_\_\_

Contact Person \_\_\_\_\_

Designation \_\_\_\_\_

Telephone \_\_\_\_\_

Fax \_\_\_\_\_

Email Address \_\_\_\_\_

**BILLING DETAILS** please tick if billing details are the same as contact details.

Contact Person \_\_\_\_\_

Designation \_\_\_\_\_

Billing Address \_\_\_\_\_

Telephone \_\_\_\_\_

Fax \_\_\_\_\_

Email Address \_\_\_\_\_

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## PAYMENT DETAILS

Payment Details	Member Rate (per person) for KL-code related courses	Non-Member (per person)	6% SST	Total with SST
Fee (per pax) RM				
No. of pax				
Subtotal				

All registrations **MUST** be accompanied with full payment. Upon receipt of your registration, you are deemed to have read and understood the registration procedures and accepted the terms and conditions contained therein. (Please tick (✓) the chosen method)

Enclosed is a cheque/bank draft no. \_\_\_\_\_ for the sum of RM \_\_\_\_\_ payable to **THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA**

### LOCAL PAYMENTS BY CHEQUE / INTERBANK GIRO

All payments should be crossed and made payable to **THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA**

Bank Details: United Overseas Bank (M) Bhd. USJ Taipan Branch, No.7, Jalan USJ 10-1, USJ Taipan Triangle, 47620 UEP Subang Jaya, Selangor  
Account No.: 165-301-514-9 Bank Swift Code: UOVBMKYL

### OVERSEAS PAYMENTS BY WIRE TRANSFER (USD only)

Beneficiary: **THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA**

Address: 1-17-07, Menara Bangkok Bank, Berjaya Central Park, 105 Jalan Ampang, 50450 Kuala Lumpur, Malaysia

Beneficiary's Bank: STANDARD CHARTERED BANK MALAYSIA BERHAD

Beneficiary's Bank Address: Level 18, Menara Standard Chartered, No.30 Jalan Sultan Ismail, 50250 Kuala Lumpur

Account No.: 312-170-024-235 Bank Swift Code: SCBLM-YK-XXXX

All wire transfer payments should include USD\$30.00 (overseas) and RM25.00 (local) for wire transfer processing fee. For GIRO, please include RM1.00 as bank charges. (Please fax the bank-in slip to +603 2181 1717 or email to training@iiam.com.my)

### CREDIT CARD

I hereby authorise **THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA** to charge to my credit card. to the value of

RM \_\_\_\_\_ Card Type:  VISA  MASTER

Card Number:

Expiry Date: \_\_\_\_\_ Cardholder's Name \_\_\_\_\_

I understand that any amount drawn from my credit card will first be cleared with the credit card authorisation facility.

Signature (As per credit card) \_\_\_\_\_ Date \_\_\_\_\_

## TERMS & CONDITIONS

### FEE

- Fee is payable to "THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA". Please state your name, payment advice number, phone number and Workshop Code number at the back of the cheque/bank-in slip. Admittance will only be permitted upon receipt of full payment
- The fee covers the hard copy course material, lunches, refreshment and Certificate of Attendance.
- Full payment is to be made before the date of the course. Fee is subject to 6% SST.
- Walk-in delegates will only be allowed if full payment is made, subject to the availability of the seat.

### CANCELLATION

- Upon registering, participant(s) are considered successfully enrolled in the course. Should participant(s) decide to cancel/transfer their registration, a cancellation/transfer policy shall be applied as follows.
  - a) Written cancellations should be received by 14 working days before the workshop date to get the refund.
  - b) Written cancellations should be received by 7 working days before the workshop date to get a partial refund after deduction of 50% administrative charge. Unpaid registrations will also be liable for 50% administrative charge.
  - c) Written cancellations/no-show on the day of the workshop.
    - No refund will be entertained.
    - Unpaid registrations will also be liable to full payment of the registrations fee.
    - Partial cancellation is not allowed.
  - d) You can substitute an alternate delegate(s) if you wish to avoid cancellation charges. Any differences in fees will be charged accordingly.

### RESERVATION

- The Institute reserves the right to make changes to the venue, date, topic, speaker including cancellation if warranted by circumstances beyond its control.
- The Institute is not responsible for the action, advise or representations of the trainer / speaker.
- Registration will be on first-come, first-serve basis.
- Upon signing this form, you have deemed to have read and understand the registration term and condition and therefore have accepted the terms contained herein.
- Certificates of Attendance will be issued an "E-certificate" via email. For this purpose, it is COMPULSORY to fill in the email address clearly. Certificate will only be given to participant who attended the session in full.

### DATA PROTECTION

Personal Data is gathered in accordance with the Personal Data Protection Act 2010 (Act 709). The Institute of Internal Auditors Malaysia (IIA Malaysia) hereby inform you that your personal data will be processed, retained and used by IIA Malaysia in relation to this Workshop. Your personal data may also be retained and used by IIA Malaysia to market and promote other training programmes conducted by IIA Malaysia.

### DISCLAIMER

The Institute of Internal Auditors Malaysia (IIA Malaysia) reserves the right to change the speaker(s), date(s) or to cancel the Workshop should circumstances beyond its control arise. IIA Malaysia also reserves the right to make alternative arrangements without prior notice should it be necessary to do so. Upon signing the registration form, you are deemed to have read and accepted the terms and conditions.