

ENVIRONMENTAL, SOCIAL AND GOVERNANCE DIALOGUE



As the expectations of investor groups, consumer and general public around the world continue to shift toward environmental, social and governance (ESG) reporting, the pressure for organizations to provide more ESG reporting continues to grow with the need for scrutiny of underlying data in these reports. Failing to provide adequate ESG reporting and publishing ESG reports that are incomplete, inaccurate, or unreliable could lead to significant reputational and financial consequences for organizations. This presents an opportunity for internal audit to play a significant role in preventing such undesirable scenarios and address a key risk area that is increasingly on the board's radar from the ESG viewpoint.

THEME:

GOOD DISCLOSURE, CHAMPION SUSTAINABILITY

Member's Fee:
RM500.00

Non-Member's Fee:
RM600.00

Fee is subject to 6% SST

Enjoy 10% discount off total course fee for 3 pax and above from the same organisation.

Who Should Attend?

- Board of Directors
- Board of Audit Committees
- Audit Practitioners
- Compliance Officers
- Risk Managers
- Academicians
- Professional Consultants



CONFERENCE PROGRAM

8.00 am Registration & Welcoming Participants

8.45 am Opening Remarks by President of IIA Malaysia

9.00 am Session 1 (Singular Session)

Verifying Virtue: Stamping Assurance on ESG Promises

ESG investing continues to represent a fundamental paradigm shift globally across both the public and private markets. More empirical data suggests that ESG is proven to lead to risk reduction and long-term financial outperformance, and therefore, a trend that cannot be ignored by boardrooms and investors alike. The COVID-19 pandemic and the ongoing social unrest only elevated the importance of ESG factors for corporations and their stakeholders, as disaster preparedness, business continuity planning, supply chain dislocation, and labor and safety policies all took center stage, beyond the ongoing concerns regarding the existential threat of climate change and a potential "green swan" event.

However, while sustainability has become a central concern for many corporations and investors, one of the greatest roadblocks for adoption remains the lack of transparency and consistency across metrics. There are still no universally adopted standards for how companies can measure and report on their sustainability performance although we are beginning to see early innings of mandated disclosure in some parts of the world. Sustainability disclosures should include both qualitative and quantitative discussions of topics and ESG metrics and key performance indicators (KPIs) that are material to the business operations. Public disclosure will increasingly require more policies, controls, and governance, and the disclosed information needs to be reliable and consistent. As companies are increasingly setting long-term targets, particularly around climate goals, there is growing importance to be able to provide transparency around metrics to better track progress against these targets, especially as these trends are driving growth across sustainable finance, and investors are increasingly demanding greater comparability.

This session will cover the following topics:

- a) How is ESG defined and what is driving the paradigm shift?
- b) What are the key roadblocks to global ESG adoption?
- c) What are the key frameworks and standards today that any auditor should be well versed on?
- d) How do auditors play a role in ESG reporting?
- e) What are some best practices that corporations are utilizing in terms of reporting?
- f) What are the latest frameworks being considered by major reporting organizations that auditors should be aware of? (e.g., latest developments of the IFRS Foundation including the creation of SSB; IWAI overview)

Speaker: Nidhi Chadda, Founder, Chief Executive Officer, Enzo Advisors LLC (US)

10.00 am Break Time

10.30 am Session 2 (Panel Discussion)

Sustainability and ESG Reporting

Reporting on sustainability is also regarded as an exercise that satisfies standards. Strong practise in environmental, social and governance (ESG) will provide more balanced financial performance and lower regulatory risk. Are internal auditors up to date on the latest ESG reporting on sustainability? This session would offer insights into the role and involvement of the internal audit in the ESG report to add additional value to the organisation. There is a clear relationship between trust, reputation and value, and corporate reporting is the link between a company and its investors.

Moderator: Derek Lee Siew Weng, Board of Governor, The Institute of Internal Auditors Malaysia

Panellists: Nik Shahrizal Sulaiman, Partner, PwC Malaysia

Benjamin McCarron, Managing Director, Asia Research & Engagement, Singapore

12.00 pm End of Conference

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SPEAKERS



BENJAMIN MCCARRON

Benjamin McCarron is the Founder and Managing Director Asia Research and Engagement (ARE), based in Singapore. ARE collaborates with global institutional investors to raise ESG matters with Asian corporates. Thematic areas include Energy Transition and Financing and Sustainable Protein. ARE is also a partner of GRESB, the leading tool for rating ESG in real estate.

Benjamin has written extensively about sustainability in Malaysia. His publications include CLSA Blue Books on corporate responses to climate change in Malaysia in January 2021 and on sustainable palm oil in 2016. He is also the Malaysia chapter author of the Asian Corporate Governance Association's (ACGA) biennial CG Watch publication, which ranks Asia Pacific markets on their corporate governance standards.

Benjamin is a regular speaker on sustainability and responsible investment in Asia and has been interviewed or quoted for major international and Asian publications including the Financial Times, CNN, CNBC, Bloomberg, SCMP, the Independent, the Observer, the Business Times, Investor Relations Magazine, and The Edge.

Benjamin started his career in investment becoming a fund manager at Gerrard, now part of Barclays Wealth. He graduated with joint honours in Maths and Philosophy from University College, Oxford.



DEREK LEE SIEW WENG

Derek Lee is a professional who has accumulated more than 28 years of experiences in the areas of audit (financial & internal), governance, risk, and financial management. Derek has worked in both commercial as the head of internal audit for PLC as well as leading corporate and financial functions for organisations in Malaysia and UK.

Derek is currently taking the professional advisory role, advising and working with many businesses on various GRC application engagements. Derek is passionate to assist organisations in building their risk management capability and also enhancing organisation's business continuity management ('BCM') implementation effectiveness.

Derek has been a speaker at various public conferences and seminars on Governance, Risk & Compliance ('GRC') topics. He is committed to engage the business community and stakeholders further to advocate the importance and relevance of GRC applications for business corporations.



NIDHI CHADDA

Nidhi Chadda is a generalist growth investor and advisor across public and private markets. She is currently the Founder and CEO of Enzo Advisors, a global sustainability consulting firm focused on helping companies build best-in-class sustainable business models within an ESG construct and works closely with institutional investors to codify and integrate ESG policies and frameworks across their investment processes. Prior to launching Enzo Advisors, Nidhi was a portfolio manager at RBC Global Asset Management where she spearheaded the initiative to drive ESG integration across investment processes for her team managing \$3 billion in assets under management in aggregate across 3 funds.

Nidhi has hosted numerous webinars related to ESG - related topics and has been featured across a number of media engagements including CNBC, the Women in Asset Management Summit, ESG Clarity magazine, Women's Wear Daily (WWD), ESG Today, and Venture Capital Journal. Nidhi has 20+ years of experience as an investment banker, strategic consultant and investor. She earned her MBA from the Harvard Business School and a BS in Economics from the Wharton School, University of Pennsylvania.



NIK SHAHRIZAL SULAIMAN

Nik Shahrizal is a Partner in PwC Malaysia, and has over 18 years of experience in the assurance profession. He trained as a chartered accountant in the UK, prior to joining PwC Malaysia in 2007. He also served on the Board of Governors of the Institute of Internal Auditors Malaysia in previous years, and was involved in the overall strategy and direction of the professional body.

Nik has been involved in a wide array of work in the region, from internal audit, compliance assessments, ERM implementation and governance reviews. He was recently quoted in the Edge on the topic of market conduct in the banking industry, and is an active proponent for the role of technology in internal audit activities.

Nik graduated from the University of Cambridge with a Master in Business Administration, and is professionally qualified in the area of accounting (ICAEW), investments (CFA), financial risk management (FRM) and treasury (PKMC).

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REGISTRATION FORM

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Full name as per IC (Dato'/Datin/Dr/Mr/Mrs/Ms): _____
 Membership No. : _____ Designation: _____ Professional Body: _____
 Mobile (Compulsory): _____ Personal Email (Compulsory): _____
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DELEGATE 2

Full name as per IC (Dato'/Datin/Dr/Mr/Mrs/Ms): _____
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Full name as per IC (Dato'/Datin/Dr/Mr/Mrs/Ms): _____
 Membership No. : _____ Designation: _____ Professional Body: _____
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CONTACT PERSON DETAILS

Organisation: _____ Corporate Membership No.: _____
 Mailing Address: _____

 Contact Person: _____ Designation: _____
 Office No.: _____ Fax No.: _____ Email: _____

CONTACT DETAILS FOR BILLING PURPOSE

Name: _____ Designation: _____
 Billing Address: _____

 Contact No.: _____ Fax No.: _____ Email: _____

PAYMENT INFORMATION

All registrations **MUST** be accompanied with full payment. Upon receipt of your registration, you are deemed to have read and understood the registration procedures and accepted the terms and conditions therein. (Please tick () the chosen method).

Enclosed is a cheque/bank draft no. _____ for the sum or RM _____ payable to **THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA**

LOCAL PAYMENTS BY CHEQUE/INTERBANK GIRO
 All payments should be crossed and made payable to THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA
 Bank Details: United Overseas Bank (M) Bhd. USJ Taipan Branch, No. 7, Jalan USJ 10-1, USJ Taipan Triangle, 47620 UEP Subang Jaya, Selangor Account No.: 165-301-514-9 Bank Swift Code: UOVBMKYL

OVERSEAS PAYMENTS BY WIRE TRANSFER (USD only)
 Beneficiary: THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA
 Address: 1-17-07, Menara Bangkok Bank, Berjaya Central Park, 105 Jalan Ampang, 50450 Kuala Lumpur, Malaysia
 Beneficiary's Bank: STANDARD CHARTERED BANK MALAYSIA BERHAD
 Beneficiary's Bank Address: Level 18, Menara Standard Chartered, No.30, Jalan Sultan Ismail, 50250 Kuala Lumpur
 Account No.: 312-170-024-235 Bank Swift Code: SCBLM-YK-XXXX

All wire transfer payments should include USD\$30.00 (overseas) and RM25.00 (local) for wire transfer processing fee. For GIRO, please include RM1.00 as bank charges. (Please fax the bank-in-slip to +603 2181 1717 or email to training@iiam.com.my)

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Expiry Date: _____ Cardholder's Name _____

I understand that any amount drawn from my credit card will first be cleared with the credit card authorisation facility.

Signature (As per credit card) _____ Date _____

Enclosed is a letter of undertaking for payment of RM/USD _____ for the above registration.

ENQUIRY & REGISTRATION

1-17-07, Menara Bangkok Bank, Berjaya Central Park, 105 Jalan Ampang, 50450, Kuala Lumpur, Malaysia

Tel: +603 2181 8008 ext 209/210/212/213 Fax: +603 2181 1717 Email: conference@iiam.com.my Website: www.iiam.com.my

IMPORTANT NOTE

- Personal email address and mobile number are **COMPULSORY**
- Please write clearly to ensure correct information is captured for the issuance e-certificate
- Only completed registration forms will be processed
- Full payment of the conference fee shall be made within thirty (30) days from the date of the Payment Advice or by end of campaign or on conference end day, whichever is earlier.

TERMS & CONDITIONS

SUMMIT FEE

Fee is payable to "THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA". Please state your name, payment advice number, phone number, and "ESGD2021" at the back of the cheque/bank-in slip. Admittance will only be permitted upon receipt of full payment.

Fee is subject to 6% SST.

CANCELLATION

Upon registration, delegate(s) are considered successfully enrolled for the Conference. Should delegate(s) decide to cancel their enrolment, a cancellation policy shall be applied as follows:

(a) Written cancellations received before 24 June 2021:

- Full refund will be made, or
- No administrative charge will be imposed

(b) Written cancellations received on or after 24 June 2021:

- A refund (less administrative charge of 50%) will be made
- Unpaid registrations will also be liable for 50% administrative charge

(c) Written cancellations/no-show on the day of the Conference:

- No refund will be entertained
- Unpaid registrations will also be liable for full payment of the registration fee
- Partial cancellation is not allowed

You can substitute to an alternate delegate(s) if you wish to avoid cancellation charges. Any difference in fees will be charged accordingly.

DELEGATE'S CLASSIFICATION

Please select the delegate classification carefully as it determines the fee payable. No alteration will be allowed after registration is accepted. Terms and conditions apply.

CERTIFICATE OF ATTENDANCE

Upon attending the Conference, delegates will be issued an "E-Certificate" via email. For this purpose, it is **COMPULSORY** to fill in the email address clearly.

DATA PROTECTION

Personal Data is gathered in accordance with the Personal Data Protection Act 2010 (Act 709). The Institute of Internal Auditors Malaysia (IIA Malaysia) hereby inform you that your personal data will be processed, retained and used by IIA Malaysia in relation to this conference. Your personal data may also be retained and used by IIA Malaysia to market and promote other training programmes conducted by IIA Malaysia.

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